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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/627,306  
Applicant (s) : Giuseppe Paparatto, et al.  
Filed : July 27, 2000  
TC/A.U. : 1625  
Examiner : Ba K. Trinh  
Title : PROCESS FOR THE PREPARATION OF OLEFIN OXIDES  
Docket No. : 61988  
Customer No. : 00109

Confirmation No. 1194

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P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

**Margaret Greene**

DATE OF DEPOSIT

*October 1, 2004*

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

*Margaret Greene*

SIGNATURE OF PERSON SIGNING CERTIFICATE

*October 1, 2004*

DATE OF SIGNATURE

Mail Stop Reconstruction  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

RESPONSE TO NOTICE UNDER 37CFR 1.251

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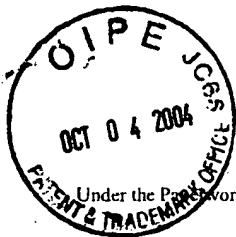
Filed herewith is a copy of applicants' records of the correspondence between the USPTO and the applicants for the above-identified application, as requested in the notice mailed August 16, 2004. Additionally, a summary sheet listing the correspondence, and the required statement that the copy is a complete and accurate copy of applicants record is also provided.

Respectfully submitted,

*James T. Hoppe*  
James T. Hoppe  
Registration No. 35,899  
Phone: (979) 238-9093

P. O. Box 1967  
Midland, MI 48671-1967

JTH/mfg



In re Application of:

Giuseppe Paparatto, et al

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Application No.:

09/627,306

**OCT 13 2004**

Filing Date:

July 27, 2000

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Title:

PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

Direct to:

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### NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

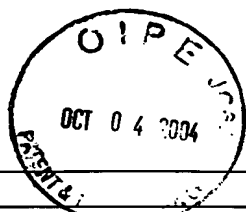
☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Sept 30, 2004  
Date

James T. Hoppe  
Signature  
James T. Hoppe  
Typed or printed name

**A copy of this notice should be returned with the reply.**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**



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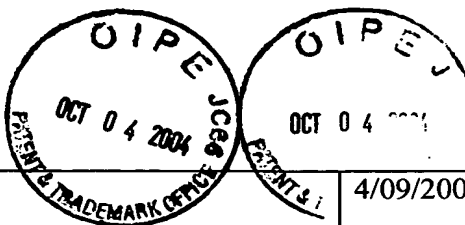
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Paper	Date	Description
1	undated	Patent Application (and related papers)
2	7/27/2000	Post Card Receipt for Specification (and related papers)
3	8/7/2000	Advance Notice Serial Number
4	9/18/2000	Filing Receipt
5	undated	Response to a notice to file Missing Parts for missing Declaration (including copy of the notice dated 9/18/2000) and Request for priority
6	12/18/2000	Post Card Receipt for Declaration (and related papers)
7	undated	Assignment (and related papers)
8	12/18/ 2000	Post Card Receipt for Assignment and related papers
9	12/22/2000	Duplicate Filing Receipt
10	1/02/2001	Post Card Receipt for IDS and related papers- (NOTE: the actual IDS is missing from applicants files)
11	3/6/2001	Notice of Recordation of Assignment Document
12	9/25/2001	First Office Action
13	2/25/2002	Response
14	3/5/2002	Post Card Receipt for Response (and related papers)
15	5/21/2002	Notice of Allowance
16	9/24/2002	Fee Transmittal/Petition for revival
17	9/30/2002	Post Card Receipt for Petition for Revival (and related papers) (Note: Papers # 14 and 17 appear on the same sheet)
18	10/02/2002	Notice of Abandonment
19	3/30/2004	Status Request
20	4/05/2004	Assignment record: Enichem to Dow Poliuretani
21	4/05/2004	Assignment record: Dow Poliuretani to The Dow Chemical Company
22	4/05/2004	Assignment record: The Dow Chemical Company to Dow Global Technologies, Inc.
23	4/09/2004	Notice of Assignment recordation: Enichem to Dow Poliuretani
24	4/09/2004	Notice of Assignment recordation: Dow Poliuretani to The Dow Chemical Company



25	4/09/2004	Notice of Assignment recordation: The Dow Chemical Company to Dow Global Technologies, Inc.
26	8/16/2004	Reconstruction Notice

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/627,306	07/27/2000	Giuseppe Paparatto	194938US0X	1194
22850	7590	08/16/2004	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			TRINH, BA K	
			ART UNIT	PAPER NUMBER

1625

DATE MAILED: 08/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED: 8-17-04  
OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.

## DOCKETING DEPT.

Initials/Date Docketed: mx/8-17-04  
Type of Resp(s): \_\_\_\_\_  
Due Date(s): \_\_\_\_\_



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**NOTICE UNDER 37 CFR 1.251 - Pending Application**

☒ The file of the above-identified application cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

Alternatively, applicant may reply to this notice by producing applicant's record (if any) of all of the correspondence between the Office and the applicant for the above-identified application for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), whether applicant is aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

**Failure to reply to this notice in a timely manner will result in abandonment of the above-identified application. The three-month period for reply to this notice may be extended (up to a maximum of six months) under the provisions of 37 CFR 1.136(a). However, failure to reply within this three-month period will result in a reduction of any patent term adjustment. See 37 CFR 1.704(b).**

☒ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

Mail Stop RECONSTRUCTION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

Linda P. Wilson

(703) 305-8129  
OFFICE OF Patent Publication  
Crystal Park 3-910

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**In re Application of:** \_\_\_\_\_

**Application No.:** \_\_\_\_\_

**Filing Date:** \_\_\_\_\_

**Title:** \_\_\_\_\_  
\_\_\_\_\_

Direct to:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

## NOTICE UNDER 37 CFR 1.251 - Pending Application

**Statement (check the appropriate box):**

☐ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

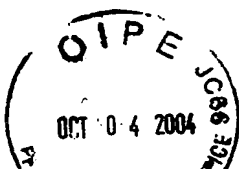
\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature*


\_\_\_\_\_  
*Typed or printed name*

**A copy of this notice should be returned with the reply.**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**



<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	194938US0X
	First Inventor or Application Identifier	Giuseppe PAPARATTO, et al.
	Title	PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <input type="text" value="20"/></p> <p>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text"/></p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<b>ACCOMPANYING APPLICATION PARTS</b> <p>6. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (3)</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>14. <input checked="" type="checkbox"/> Other: Notice of Priority, European Search Report, List of Inventors' Names and Addresses</p>
<p>15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:</p>	
<p>16. Amend the specification by inserting before the first line the sentence:</p> <p><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<p align="center"><b>17. CORRESPONDENCE ADDRESS</b></p> <div align="center"> <b>22850</b> (703) 413-3000 FACSIMILE: (703) 413-2220</div> <div align="right"><b>RECEIVED</b> OCT 13 2004 TECH CENTER 1600/2900</div>	

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	
Name:		Registration No.:	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Giuseppe PAPARATTO, et al.

FILING DATE: Herewith

FOR: PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Giuseppe PAPARATTO

CINISELLO BALSAMO, ITALY

Anna FORLIN

VIGONZA, ITALY

Paolo TEGON

ORIAGO, ITALY

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 11/98)

Norman F. Oblon  
Registration No. 24,618

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Docket No. 194938US0X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Giuseppe PAPARATTO, et al.

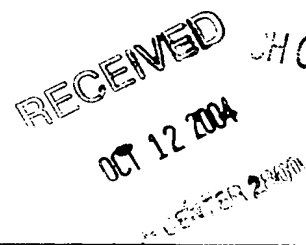
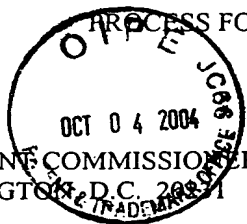
SERIAL NO: New Application

FILING DATE: Herewith

FOR: PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20530



FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	24 - 20 =	4	× \$18 =	\$72.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$78 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$690.00
TOTAL OF ABOVE CALCULATIONS				\$892.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$892.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$892.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: \_\_\_\_\_

Norman F. Oblon

Registration No. 24,618



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 11/98)

Dept.: PP/JF

OSMM&N File No. 194938US0X

By: NFO/msc

Serial No. NEW APPLICATION

In the matter of the Application of: Giuseppe PAPARATTO, et al.

For: PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 20 pp. Specification & 24 Claims/Drawings 0 Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☒ List of Inventors' Names and Addresses
- ☒ Utility Patent Application ☐ CPA
- ☒ Notice of Priority ☐ Priority Doc
- ☒ Check for \$892.00 ☒ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Change
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (3)
- ☒ European Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☒ White Advance Serial Number Card
- ☐
- ☐



Due Date: 7/27/00

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The U.S. Patent Office stamp affixed hereinbelow indicates the filing date and Serial Number for the following application:

OSMM&N DOCKET: 194938USOX

Inventor: Giuseppe PAPARATTO, et al.

Title: PROCESS FOR THE PREPARATION OF OLEFIN OXIDES

JC873 U.S. PTO  
09/627306  
07/27/00

This is NOT a filing receipt! Please retain for ADVANCE NOTICE SERIAL NUMBER.

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